山金证券(香港)有限公司
SDG Securities (HK) Limited
SD-GOLD

**SDG Securities (HK) Limited (CE Number: BMB958) 山金證券（香港）有限公司（中央編號：BMB958）**

**Opt-Out of Receiving Internet Trading Trade Execution Notification Form 不收取互聯網交易執行交易通知表格**

| **Client Information 客戶資料** | |
|---|---|
| **Account Name 帳戶名稱** | **Account Number 帳戶號碼**<br>(“**Account**”) (「**帳戶**」) |

(“**Client**”) (「**客戶**」)

I/We would like to opt-out from receiving internet trading trade execution notifications. 本人 / 吾等欲選擇退出接收互聯網交易執行交易的通知。

**Client Declaration 客戶聲明**

- The Client acknowledges and understands that, by opting-out of receiving internet trading trade execution notifications, the Client will not be informed simultaneously of the execution of transactions or cancellation of instructions relating to the Account via email, and therefore the Client may not immediately become aware of unauthorized access or use of the Account. 客戶確認及明白就選擇不收取互聯網交易執行交易的通知後，客戶在以帳戶進行交易時將不會同時透過電子郵件收取已完成的交易或取消交易指示的通知，因此或未能即時知悉未獲授權登入或使用帳戶。
- The Client acknowledges that the Client has been advised that, in order to mitigate and reduce the risk of unauthorized access to the Account, the Client should: 客戶確認已被告知，為降低及紓減未獲授權登入帳戶之風險，客戶應當：
  - not allow any unauthorized third parties to gain access to the Account; 不允許非授權人士得以登入帳戶；
  - keep the password confidential and secure and change it regularly; 將密碼保密及小心保存並定期更改密碼；
  - not leave any electronic device unattended by the Client when it is connected to the internet trading system; 當客戶的電子設備連接到互聯網交易系統時，不會讓客戶的電子設備無人看管；
  - log out the Account when it is not in use; and 在非使用時段登出帳戶；及
  - not login the Account in any area where hacking is probable. 不會在任何存在黑客入侵風險的地方登入帳戶。
- The Client understands that despite not receiving immediate internet trading trade execution notifications via email, all transaction details can still be monitored instantly by the Client through the internet trading system and both daily and monthly statements capturing all trade related and other account movements will still be sent to the Client. 客戶明白儘管不透過電子郵件適時收取互聯網交易執行交易的通知，客戶仍可以即時在互聯網交易系統監察所有交易詳情及收到記錄了所有與交易相關的和其他帳戶變動的日結單和月結單。

| **Signed by Client 客戶簽署** | **Name 姓名** |
|---|---|
| | **Date (dd/mm/yyyy) 日期（日 / 月 / 年）** |

**For Internal Use Only 內部專用**

**Checklist 檢查清單**

**Instruction Placing Method 發出指示方式**

☐ Form 表格 ☐ Email 電郵 ☐ Fax 傳真

☐ Other: 其他：_____

**Signature Verified 已驗證簽名**

☐ Yes 是 ☐ No 否 ☐ N/A 不適用

**Approval 審批**

| **Checked by Licensed Person**<br>**持牌人檢查**<br><br>Date: 日期： | **Data Inputted by Settlement Officer**<br>**結算主任輸入資料**<br><br>Date: 日期： |
|---|---|
| **Inputted Data Checked by Settlement Officer**<br>**結算主任檢查已輸入資料**<br><br>Date: 日期： | **Approved by Responsible Officer**<br>**負責人員審批**<br><br>Date: 日期： |